

## UNITED STATES HOUSE OF REPRESENTATIVES

## FORM A

## CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

For use by Members, officers, and employees

MAY 16 AM 11:46

Adam Bennett Schiff

(Full Name)

626-304-2727

(Daytime Telephone)

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Filer Status

☒ Member of the U.S. House of Representatives
State: CA  
District: 29
☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

MC

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Adam Bennett Schiff

Page 2 of 8

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any debt-financed investment of \$2,000 or more in a commercial structure at the end of the reporting period.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Bank of America CD	None	INTEREST	\$1 - \$200	
Bank of America CD	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Constellation Brands Inc CL A	\$1,001 - \$15,000	None	NONE	
Diamonds Trust Ser 1	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Fidelity Cash Reserves	None	INTEREST/DIVIDENDS	\$1 - \$200	
Fidelity Investment Grade Bond Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Adam Bennett Schiff

Page 3 of 8

Fidelity Puritan Fund IRA	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Fidelity Select Money Market	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	PS(part)
Fidelity Total Bond Fund	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000	S(part)
Fortune Brands	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Franklin Flex Cap Growth Fund - Class C	\$15,001 - \$50,000	None	NONE	P
Franklin Mutual Global Discovery Fund - Class C	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Franklin Rising Dividends Fund - Class C	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
Franklin Templeton Mutual Shares Fund - Class C	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Hewlett Packard	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
1 Shares DJ Select Dividend Index	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
1 Shares DJ Select Dividend Index Fund IRA	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
1 Shares DJ Tech Sector Ind Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
1 Shares DJ US Financial Sector Ind Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
1 Shares DJ US Healthcare Sector Ind Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Adam Bennett Schiff

Page 4 of 8

I Shares DJ US Industrial Sector Ind Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
I Shares Inc MSCI Canada Index Fd	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
I Shares MSCI Emerging Markets Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
I Shares Trust Global Industrials Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
I Shares Trust Russell 2000 Index	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
I Shares Trust S&P 500 Index	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
I Shares Trust S&P Global Information Technology Sector Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
Ishares MSCI EAFE Index Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
Ishares Trust S&P Global Energy Sector Index Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Pepsico	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Pfizer Inc	\$50,001 - \$100,000	DIVIDENDS	\$2,501 - \$5,000	
Pimco Total Return Fund Class C	\$50,001 - \$100,000	CAPITAL GAINS/DIVIDENDS	\$100,001 - \$1,000,000	S(part)
Powershares QQQ Trust Ser 1 (formerly Nasdaq 100 Shares)	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
UBS Bank USA Deposit	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Adam Bennett Schiff

Page 5 of 8

# SCHEDULE IV - TRANSACTIONS

Name Adam Bennett Schiff

Page 6 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, for the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
JT	Fidelity Cash Reserves		N/A		
JT	Fidelity Investment Grade Bond Fund	S(part)	Yes	4/27/10, 6/3/10	\$1,001 - \$15,000
JT	Fidelity Select Money Market	P	N/A	9/17/10, 10/4/10	\$15,001 - \$50,000
JT	Fidelity Total Bond Fund	S(part)	Yes	4/27/10, 6/3/10	\$1,001 - \$15,000
JT	Franklin Flex Cap Growth Fund - Class C	P	N/A	1/5/10, 4/8/10, 8/4/10	\$1,001 - \$15,000
JT	Franklin Mutual Global Discovery Fund - Class C	P	N/A	4/8/10, 8/4/10	\$1,001 - \$15,000
JT	Franklin Mutual Shares Fund - Class C	P	N/A	1/5/10, 4/8/10, 8/4/10	\$1,001 - \$15,000
JT	Franklin Rising Dividends Fund - Class C	P	N/A	1/5/10, 4/8/10, 8/4/10	\$1,001 - \$15,000
	I Shares DJ Industrial Sector Ind Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares DJ Select Dividend Index	S(part)	No	1/5/10	Less than \$1,000

# SCHEDULE IV - TRANSACTIONS

Name Adam Bennett Schiff

Page 7 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	I Shares DJ Tech Sector Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares DJ US Healthcare Sector Ind Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares Inc MSCI Canada Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares MSCI EAFE Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares MSCI Emerging Markets Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares S&P 500 Index	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares Trust Global Industrials Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares Trust Russell 2000 Index	P	N/A	1/5/10	\$1,001 - \$15,000
	I Shares Trust S&P Global Energy Sector Index Fund	P	N/A	1/5/10	\$1,001 - \$15,000
	PIMCO	S(part)	Yes	9/20/10	\$15,001 - \$50,000
	PIMCO	S(part)	Yes	1/4/10	\$50,001 - \$100,000

# SCHEDULE IV - TRANSACTIONS

Name Adam Bennett Schiff

Page 8 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Powershares QQQ Trust Ser 1	P	N/A	1/5/10	\$1,001 - \$15,000